

KHRGC 3-020-1 (12/2023)

**KENTUCKY HORSE RACING AND GAMING CORPORATION**

4047 Iron Works Parkway

Lexington, Kentucky 40511

Phone: 859-246-2040 Fax: 859-202-3510

WEBSITE: [khrc.ky.gov](http://khrc.ky.gov)

EMAIL: [khrelicensing@ky.gov](mailto:khrelicensing@ky.gov)

Application Year: \_\_\_\_\_

**For KHRGC Use only**

Applicant # \_\_\_\_\_

License Clerk \_\_\_\_\_

Check # \_\_\_\_\_ Cash \_\_\_\_\_

Credit Card \_\_\_\_\_

Bill Entity \_\_\_\_\_

Steward/Security Approval (if required) \_\_\_\_\_

RCI Check \_\_\_\_\_

Date \_\_\_\_\_

| THOROUGHBRED FEES  |  |                       |                           | STANDARD BRED - QUARTER & OTHER HORSE FEES ARE IN ( )   |                                   |                               |               |                |
|--|--|-----------------------|---------------------------|---|-----------------------------------|-------------------------------|---------------|----------------|
| Have you ever had a license in KY? _____ What years? _____   |  |                       |                           | Have you ever had a license in KY? _____ What years? _____  |                                   |                               |               |                |
| ___ Association Employee \$25<br>___ Asst. Trainer \$150<br>___ Asst. Trainer/Owner \$150<br>___ Claiming \$150<br>___ Dental Tech. \$100<br>___ Exercise Rider \$10<br>___ Equine Therapist \$50<br>___ Farm Mgr/Agent \$50<br>___ Farrier \$100<br>___ Farrier Apprentice \$50<br>___ Jockey \$150<br>___ Jockey Agent \$150<br>___ Jockey Apprentice \$100<br>___ Mutuel Employee \$50<br>___ Military Spouse<br>(MILITARY ID REQUIRED)                       |  |                       |                           | ___ Owner \$150<br>___ Owner (temp.) \$150<br>___ Owner/Trainer \$150<br>___ Racing Official \$100<br>___ Special Event \$10<br>___ Stable Agent \$50<br>___ Stable Employee \$10<br>___ Steeplechase Jockey \$150<br>___ Trainer \$150<br>___ Vendor \$50<br>___ Vendor Employee \$25<br>___ Veterinarian \$150<br>___ Veterinary Asst. \$50<br>___ Veterinary Tech. \$50  |                                   |                               |               |                |
| ___ Association Employee \$25 (\$10)<br>___ Asst. Trainer (\$35)<br>___ Asst. Trainer/Owner (\$35)<br>___ Dental Tech \$100<br>___ Driver \$125<br>___ Driver/Trainer \$125<br>___ Equine Therapist \$50 (\$25)<br>___ Farm Mgr/Agent \$50 (\$25)<br>___ Farrier \$100 (\$35)<br>___ Farrier Apprentice \$50 (25)<br>___ Jockey (\$35)<br>___ Jockey Agent (\$35)<br>___ Jockey Apprentice (\$35)<br>___ Matinee Driver \$125<br>___ Mutuel Employee \$50 (\$20) |  |                       |                           | ___ Owner \$125 (\$35)<br>___ Owner (temp.) \$125 (\$35)<br>___ Owner/Driver \$125<br>___ Owner/Trainer \$125 (\$35)<br>___ Owner/Trainer/Driver \$125<br>___ Stable Employee \$5 (\$5)<br>___ Trainer \$125 (\$35)<br>___ Racing Official \$100 (\$35)<br>___ Vendor \$50 (\$25)<br>___ Vendor Employee \$25 (\$25)<br>___ Veterinarian \$125 (\$35)<br>___ Veterinary Asst. \$50 (\$25)<br>___ Veterinary Tech \$50 (\$25)<br>___ Military Spouse<br>(MILITARY ID REQUIRED) |                                   |                               |               |                |
| Last Name  |  | First Name            |                           | M.I.  | Social Security #<br>XXX-XX-_____ |                               | Date of Birth | Place of Birth |
| Mailing Address  |  |                       |                           | City  |                                   | State                         |               | Zip Code       |
| Home Phone<br>( ) ( )  |  | Work Phone<br>( ) ( ) |                           | Cell Phone<br>( ) ( )   |                                   | Sex                           | Height        | Weight         |
|  |  |                       |                           | Hair  | Eyes                              | Marital Status                |               |                |
| Trainer  |  |                       | Applicant's Email Address |   |                                   | Applicant's Employment Duties |               |                |
| Person to notify in case of emergency  |  |                       |                           | Phone Number  |                                   |                               |               |                |

**ALL APPLICANTS MUST ANSWER THE FOLLOWING QUESTIONS-ATTACH ADDITIONAL PAGES IF NEEDED FOR EXPLANATIONS**

- Have you been arrested or charged with a crime, other than a traffic violation, in the last 15 years? Yes \_\_\_\_\_ No \_\_\_\_\_ If yes, explain \_\_\_\_\_  
\_\_\_\_\_
- Are you currently on parole or probation? Yes \_\_\_\_\_ No \_\_\_\_\_ If yes, explain \_\_\_\_\_  
\_\_\_\_\_
- Have you ever been fined over \$250 by any racing jurisdiction? Yes \_\_\_\_\_ No \_\_\_\_\_ If yes, explain \_\_\_\_\_  
\_\_\_\_\_
- Have you or your spouse, parent, child, or sibling (including in-laws) ever had a license denied, revoked, suspended, or have a complaint pending in any jurisdiction? Yes \_\_\_\_\_ No \_\_\_\_\_ If yes, explain \_\_\_\_\_  
\_\_\_\_\_
- Have you ever been ruled off, ejected, or excluded from racing association grounds? Yes \_\_\_\_\_ No \_\_\_\_\_ If yes, explain \_\_\_\_\_  
\_\_\_\_\_
- Have you ever been issued a license under another name? Yes \_\_\_\_\_ No \_\_\_\_\_ If yes, provide other names \_\_\_\_\_  
\_\_\_\_\_

**PLEASE COMPLETE THE BACK PORTION OF THE FORM**

**OWNERS ONLY – LIST HORSES YOU PLAN TO RACE THIS YEAR. ATTACH LIST OF HORSES IF MORE SPACE IS NEEDED.**

| HORSE NAME | YOB | TRAINER'S NAME | OWNERSHIP NAME ON REGISTRATION PAPERS | % OWNED | BREED T,S,Q,A |
|------------|-----|----------------|---------------------------------------|---------|---------------|
|            |     |                |                                       |         |               |
|            |     |                |                                       |         |               |
|            |     |                |                                       |         |               |
|            |     |                |                                       |         |               |

**TRAINERS ONLY-** Number of horses in training \_\_\_\_\_ Number of Employees \_\_\_\_\_ (Attach List of Employees-Required)

Are you obligated to have worker's compensation insurance covering an employee in connection with racing \_\_\_\_\_  
 If yes, indicate company name \_\_\_\_\_ Policy Number \_\_\_\_\_ Expiration Date \_\_\_\_\_ Name of policy holder \_\_\_\_\_

Trainers: **I understand my responsibilities under KRS 342, Section 630, and if I employ anyone, I understand that I must obtain worker's compensation insurance and a copy of said certificate will be forwarded to the Kentucky Horse Racing and Gaming Corporation office. Failure to comply with this law may result in the revocation of my racing license. Please initial the box to the left of this section indicating that you have read this article.**

Initial Here

**ASST. TRAINER ONLY** -Name of Trainer you are assistant to \_\_\_\_\_  
 Number of horses in your care \_\_\_\_\_

**STABLE EMPLOYEE ONLY:** \_\_\_\_\_ **TRAINER or ASST. TRAINER SIGNATURE REQUIRED**

**VET ASSISTANTS/TECHS/  
 EQUINE THERAPIST ONLY:** \_\_\_\_\_ **LICENSED VETERINARIAN SIGNATURE REQUIRED**

**EXERCISE RIDER ONLY:** \_\_\_\_\_ **OUTRIDER SIGNATURE REQUIRED**  
Exercise riders are not automatically covered by trainers' workers' compensation insurance in case of injury. Ask your trainer about coverage.

**ADD \$4.00 FOR CREDIT CARD PROCESSING FEE**

If paying by credit card I authorize KHRGC to charge my account for the appropriate license fee plus a \$4.00 processing fee.

Credit card # \_\_\_\_\_ CVV # \_\_\_\_\_

Expiration Date \_\_\_\_\_

Billing address for this card \_\_\_\_\_

Cardholder's name (as it appears on the card) \_\_\_\_\_

**By my signature, I agree to pay the license fee for this application to KHRGC according to my cardholder agreement**

\_\_\_\_ Signature \_\_\_\_ Date

**ALL APPLICANTS READ AND SIGN AT BOTTOM:**

I understand that participation in racing in Kentucky is a privilege and not a right. I agree to comply with all rules, regulations, statutes, and steward's/ judge's directives related to Kentucky racing. I authorize the KHRGC or its agents to conduct a background check to determine my fitness to receive a license, which may include access to public, private and confidential information. I release all providers of information, and release all KHRGC employees and agents from any liability related to the release of any information requested by KHRGC. I agree that my license may be revoked or suspended by the KHRGC at any time. I acknowledge that the KHRGC has the right to search any location described in KRS 230.260(7) and may seize any medication, drug, substance, paraphernalia, object, or device in violation or suspected violation of KRS Chapter 230 or KAR Title 810. I agree to cooperate with the KHRGC during any such investigation and respond correctly to the best of my knowledge if questioned by the KHRGC about a racing matter. I certify that the information contained in this application is accurate and complete, and I understand that any material misrepresentation or omission on this application shall subject me to immediate revocation of any issued license, and all other appropriate penalties under the statutes of the Commonwealth of Kentucky. I agree to "out of competition" drug testing on all race horses which I own or train in conformity with KAR Title 810.

\_\_\_\_\_  
Signature/Date